

Electrically pumped continuous wave III-V quantum dot lasers epitaxially grown on exact GaP/Si (001)

Alan Y. Liu^{1*}, Jon Peters², Justin Norman¹, Xue Huang³, Daehwan Jung¹, Minjoo Larry Lee⁴, Arthur C. Gossard^{1,2}, John E. Bowers^{1,2}

¹: Materials Department, University of California Santa Barbara, Santa Barbara, California, USA

²: Department of Electrical and Computer Engineering, University of California, Santa Barbara, Santa Barbara, California, USA

³: Hewlett-Packard Labs, Palo Alto, California, USA

⁴: Department of Electrical and Computer Engineering, University of Illinois, Urbana-Champaign, Illinois, USA.

*Corresponding author: ayliu01@engineering.ucsb.edu

Abstract — We report room temperature continuous wave operation of electrically pumped III-V semiconductor lasers epitaxially grown on exact (001) GaP/silicon substrates without offcut.

Keywords—quantum dot lasers, silicon photonics, heteroepitaxy, III-V on silicon

III-V quantum dot lasers epitaxially grown on silicon are proving to be a promising light source for silicon photonics, with the potential to be manufactured at scale with low cost [1-3]. To fully capture their added value, these lasers should be compatible with existing silicon CMOS foundry process flows to enable their integration with other photonic devices on a common silicon substrate. We and other groups have previously demonstrated high performance continuous wave quantum dot lasers epitaxially grown on silicon [2-3]. These past works utilized intentionally offcut silicon substrates to suppress antiphase disorder arising from the III-V (polar) on silicon (non-polar) heteroepitaxy, and as such are not compatible with standard silicon CMOS processing, which requires nominal (001) silicon. Thus, high performance III-V lasers on exact (001) silicon are needed. To this end, we have previously demonstrated optically pumped microdisk lasers on patterned (001) silicon [4]. We now report the first demonstration of an electrically pumped quantum dot laser operating at room temperature in continuous wave operation grown on exact GaP/silicon substrates without offcut.

The epitaxial laser stack was grown on a GaP/Si (001) template provided by NAsP III-V GmbH. The original template was a 775 μm thick (001) on-axis p-doped Si substrate, with 200nm thick n-doped Si homo-epitaxial buffer and a subsequent 45 nm thick n-doped GaP nucleation layer, deposited by metal organic chemical vapor phase epitaxy. An InAs quantum dot laser embedded in a GaAs/AlGaAs GRINSCH waveguide was then grown in MBE (see Fig 1). The active region consisted of seven stacks of InAs quantum dot layers (2.75 MLs deposited at 0.11 ML/s, VIII ratio of 35) embedded in 8nm $\text{In}_{0.15}\text{Ga}_{0.85}\text{As}$ quantum wells, which were separated by partially p-doped GaAs barriers. MBE growth temperatures were 500 °C for the active region and 590 °C for GaAs/AlGaAs as detected by a pyrometer. The same active structure was also grown on a GaAs substrate for comparison. Figure 2 a&b shows an AFM comparison of quantum dots on GaAs substrates versus on GaP/Si substrates, revealing similar morphologies. Figure 2c shows a photoluminescence (PL) comparison of the two as-grown laser structures: while the peak wavelength is similar between the two, the intensity of the laser on GaP/Si is ~60% that of on GaAs.

The as grown material was then processed into deeply etched lasers with varying stripe widths using standard dry etching and metallization techniques. The Ti/Pt/Au p-contact was deposited on top of the etched mesa and AuGe/Ni/Au n-contact metal deposited on the exposed nGaAs layers. Laser cavities were formed by cleaving for the lasers on GaAs, and dicing + polishing for the lasers on GaP/Si. Fig. 3a shows room temperature continuous wave (CW) light-current (LI) curves of 2mm long by 20 μm wide broad area lasers on GaAs ($I_{\text{th}} = 190$ mA) and on GaP/Si ($I_{\text{th}} = 345$ mA), with no extra high reflection coatings applied to the facets. The laser on GaP/Si has a saturated output power (single facet) of 110 mW. Figure 3b shows typical room temperature CW lasing spectra measured from a device on GaP/Si, showing the evolution of a lasing peak near 1280nm past lasing threshold.

1. Zhou, Z., Ying, B., Michel, J., On-chip light sources for silicon photonics. *Light: Science & Applications*, **4**, (2015).
2. Liu, A. Y., Srinivasan, S., Norman, J., Gossard, A. C., Bowers, J. E., Quantum dot lasers for silicon photonics. *Photonics Research* **3.5**, B1-B9 (2015).
3. Chen, S., Li, W., Wu, J., Jiang, Qi., Tang, M., Shutts, S., Elliott, S. N., Sobiesierski, A., Seeds, A. J., Ross, I., Smowton, P. M., Liu, H., Electrically pumped continuous-wave III-V quantum dot lasers on silicon. *Nature Photonics*, **10**, (2016).
4. Wan, Y., Li, Q., Liu, A. Y., Gossard, A. C., Bowers, J. E., Hu, E. L., Lau, K. M. Optically pumped 1.3 μm room-temperature InAs quantum-dot microdisk lasers directly grown on (001) silicon. *Optics Letters*, **41**, (2016).

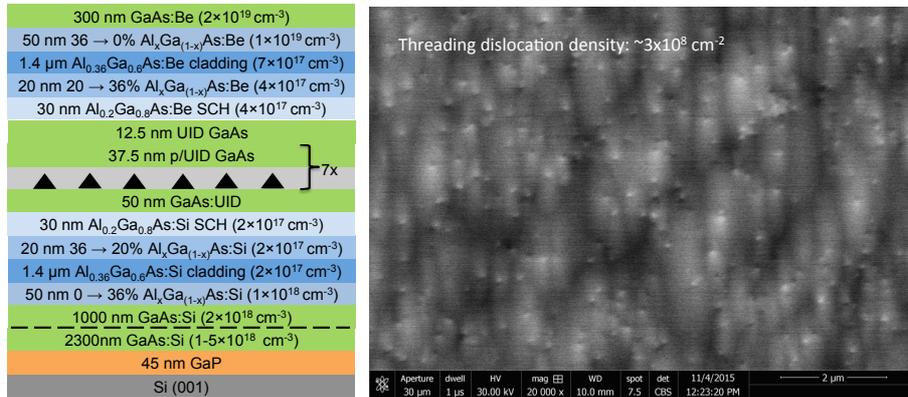


Figure 1. Left: Schematic of the as grown laser structure. Right: Electron channeling contrast imaging (ECCI) image taken at the surface of a GaP/Si template with 2300nm of GaAs grown on top (dashed line in left figure) revealing a threading dislocation density of $\sim 3 \times 10^8 \text{ cm}^{-2}$, the RMS roughness is $> 5 \text{ nms}$.

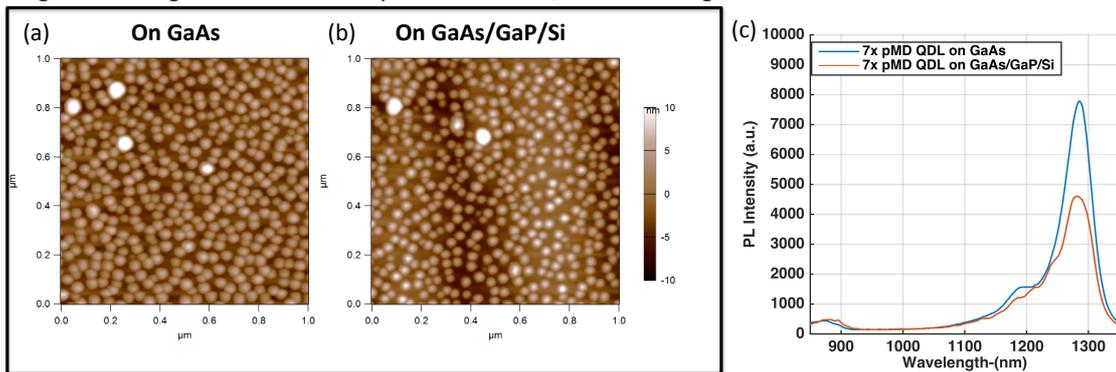


Figure 2. $1 \times 1 \mu\text{m}^2$ atomic force microscope (AFM) scans of InAs/GaAs quantum dots grown on (a) GaAs substrates and (b) GaP/Si substrates. (c) Room temperature photoluminescence comparison of QDs on GaAs vs GaP/Si under incident pump power density of 18 W/cm^2 .

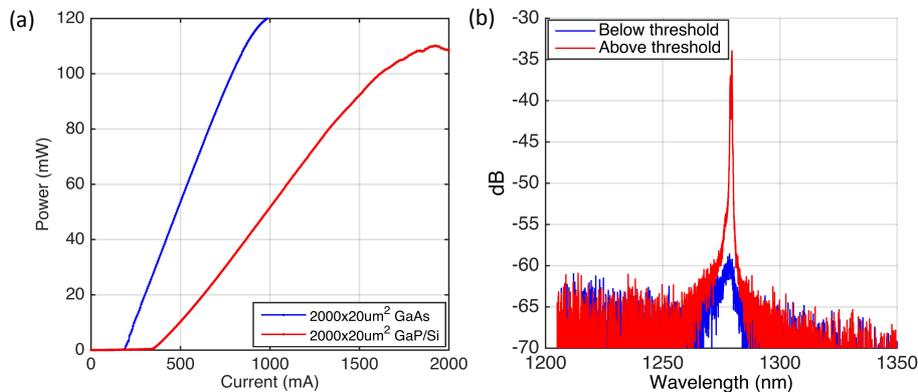


Figure 3 a, Room temperature CW LI curves of lasers on GaAs versus GaP/Si substrates. Threshold current (densities) are 190 mA (475 A/cm^2) for the laser on GaAs, and 345 mA (862 A/cm^2) for GaP/Si. b, Room temperature electroluminescence spectra below threshold (blue) and above threshold for a laser on GaP/Si, with a lasing wavelength of $\sim 1.28 \mu\text{m}$.